

HQBROKER

AML POLICY

Policy against Money Laundering

Objective: The Company's Anti-Money Laundering Protocol intends to fulfill the rules, obligations, regulations and policy established by the Financial Action Task Force to fight against terrorist financing, money laundering and risk at the integrity of the international financial system.

Section 1. Protocol

- Anti-Money Laundering covers a set of measures, regulations, rules or laws created to break, if possible permanently, the accumulation of profit, money or funds in unconstitutional and or illegal course of actions. It involves conducting financial transactions with the use of illegal money and or making an illegal transaction appears legitimate.
- All the company's trading transactions shall be free from any money laundering form. The Company operates under integrity and commitment to implementing the measures it has established. The failure of the Client to comply with the company's regulations set will result into the termination of his or her account. Should the client be proven of committing money laundering, the will be held responsible for any loss or damage that may occur. The company will also exclude from the said client's fraudulent actions.

Section 2. General Measures

- The Company has the sole right to (i) check the identity of the Client, (ii) monitor any suspicious Client activities and/or transactions (iii) keep a record of all the related information and/or documents of the Client's current and previous financial transactions.
- The Client must be aware of all the regulations imposed by the Company.

Section 3. Limitations

- The Company will not acknowledge a Client who (i) uses an anonymous or false name (ii) has been proven to be related to money laundering activities of any form (iii) can't explain the source of the client's money in cases of suspicious amounts being deposited.
- The Company will not be accepting transactions of any sort that will be proven or believed to be connected with any form of laundering or other criminal activity. The Company may choose to not notify the Client whose activities were reported to be fraudulent, criminal, or suspicious.

When depositing money, the name of the sender and the information present from the Client must match. In cases of any discrepancy found, the Company will then cancel or suspend any ongoing transaction automatically. Prior to this, third party then will be forbidden to conduct and push through with the transaction in behalf of the client. All third party actions then will be required to go through the Company first. Third-party checks will then be forbidden and will not be approved by the Company.

Likewise, any withdrawal recipient name and account holder information must be the same. Wire transfer withdrawals will only be permitted on the same bank account has the account name to which the funds were deposited. Online payment system withdrawals will ONLY be allowed as the same account or wallet to where it was deposited.

Section 4. Authorities

- The Company monitors the attainment of the policies through its own Compliance Officers including written rules and regulations on the Agreement. These Compliance
- Offices are tasked to check if there are any suspicious transactions and reports associated with the Company. The compliance officers, in addition, will then ensure to implementation of the laws against money laundering.