

# HQ BROKER

POLICY FOR THE EXECUTION OF ORDERS

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### **The Order of Execution Policy**

The sole purpose of this Order of Execution policy is to establish a well-organized action plan for the procurement process by providing the finest conceivable result for its Clients, while HQBroker accomplishing a Clients' orders.

Additionally, the aim of this document is to guide the said activities and to verify acquiescence with the necessities of the lawgiving bodies, counting the department and general development, which are established in the Internal Procedures Manual.

### **Scope and Services**

The policy shall apply automatically upon the completion of trades on behalf of its Clients. HQBroker will continually be the principal counterparty as the orders of Clients are accomplished.

More so, HQBroker cannot assure that once an order is given, the Client's expense will be beneficial than original value which could perhaps be obtainable in a different occurrence.

### **Execution Aspects**

HQBroker is required to take several aspects into deliberation; the following are itemized below:

#### **a) Quotes**

HQBroker creates an owned tradable values taken from the figures obtained over the liberated price sources, counting banks that principally offers liquescency through the global market. HQBroker is to guarantee that the bid/ask spread controls is completed with the recommendation to an extensive assortment of fundamental price sources, counting statistical bases. With such, HQBROKER can assure that the Client receives the best execution possible.

#### **b) Charges**

Once a Client secures a position on some of the provided financial instruments, it will certainly contain a commission or a financing payment.

### **c) Orders Of All Sizes**

Orders are positioned in portion scopes. A component which processes the quantity of the deal is termed as "lot", in which varies from every financial instruments given. If a Client desired to instigate an outsized scope of order, it may not be as much as favorable in several circumstances as liquidity ascends in the marketplace. HQBroker holds the right to refuse a Clients' Order, if the size of the order is considered to be too large and cannot be completed by HQBroker.

### **d) Frequency**

Normally, Price values often changes in every coming periods. The regularity with which they do varies with financial instruments along with the current market conditions. As HQBroker produces its own tradable price values, the technology therefore used by the Client to make relations with HQBroker will play a decisive function. Additionally, tradable prices are distributed through the HQBroker's trading programs.

### **Instruments**

HQBroker fulfills orders in relation to any trading instruments in derivatives on foreign exchange ("FX").

### **HQBroker provides the following foreign exchange trading products:**

- a) Foreign Exchange Derivatives
- b) Commodities Derivatives
- c) Indices Derivatives
- d) Spot metals Derivatives

### **Best execution ideologies**

When an order of a Client is being accomplished, HQBroker is authorized to layout the following factors into observation:

- a) The Client's characteristics and to where it is classified.
- b) The characteristics of the order of the Client.
- c) The characteristics of the execution spots to which that order is able to be engaged.
- d) The characteristics of trading instruments that are the order's focus.

## **Client Instructions**

In circumstances where the Client is given with a definite directive by HQBroker concerning the order execution and the company decided on this directive, consequently, an execution of order will be executed with the specific instruction given.

Still, if the Client wanted to perform an order and given a certain instruction, then by executing that order, HQBroker will fulfill with HQBroker's responsibility to deliver the best execution for the Client. This may possible result in being unqualified to comply with HQBroker's order execution policy for that certain order.

## **Execution Entities**

Entities holding the execution are principally the setting to which orders are being placed or executed. The Execution Entities in order of the Clients will be consequently accredited by investment corporations.

In general, the funds placed in the accounts by Clients with HQBroker, counting orders that are positioned towards a trading platform is secured by HQBroker and is the one accountable for the capitals entirely.

## **Review and Monitoring**

HQBroker's implemented policy will definitely be monitored, including the substantial order execution provisions, predominantly on an constant foundation to regulate and suggest better improvements.

It is also mandatory for the company to evaluate the Policy and the pertinent order execution preparations on a systematic basis in any case so as to measure if HQBroker is competent enough to deliver the best execution for its Clients.

## **Client Permission**

HQBroker is required to get the Client's prior approval to this Policy when making a business association regarding the Client. Furthermore, HQBroker is mandated to take in the Client's prior approval before the implementation of Clients' orders or getting or conducting orders for execution.

HQBroker is capable to acquire the abovementioned agreements under a broad settlement.

HQBroker will accommodate Clients who acquired the Policy or sanctioned to take the Policy by electronic means or any other online transactions including those who have largely settled upon on the Trading Terms and Conditions of HQBroker.

**Contact**

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